# FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) U.S. PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. GK-GEY/500350.20064 APPLICANT Claus Goder FILING DATE April 24, 2000 3763

### **U.S. PATENT DOCUMENTS**

Examiner Cit	Patent Number	Issue Date	Patentee	Class/ Subclass	Filing Date
A	5,938,656	August 17, 1999	Eckhard Schroder		

### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Document Ni	ımber Publicatio	on Country Patent Of	or Class/ ffice Subclass	Translation Yes/No

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner	Cite	
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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/530,167			
Filing Date	04/24/00			
First Named Inventor	Claus Goder			
Art Unit	3739			
Examiner Name	Ahmed M Farah			
Attorney Docket Number	GK-GEY-1065			

U. S. PATENT DOCUMENTS						
Examiner Cite Initials No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
(11,00010		Number-Kind Code <sup>2 (# known)</sup>			Figures Appear	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>3</sup> (if known)	WW-DD-XXXX		Or Relevant Figures Appear	<u> </u>
A		EP 151 869 A2	08-21-1985	L'Esperance, F.		<u> </u>
		EP 224 322 A1	06-03-1987	Marshall, J.		<b>I</b> ✓
		DE 44 41 425 A1	08-26-1995	Gehm, U.		<b>✓</b>
1	<del>                                     </del>	DE 196 23 749 A1	05-07-1997	Semchishen, V.		1
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Examiner Signature Date Considered O2/07/05

\*EXAMINER: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO				Application Number	09/530,167	
INF	ORMATION	DIS	CLOSURE	Filing Date	04/24/2000	
STA	STATEMENT BY APPLICANT			First Named Inventor	Claus Goder	
				Art Unit	3739	
(Use as many sheets as necessary)			ecess <i>ary)</i>	Examiner Name	Ahmed M. Farah	
Sheet	2	of	2	Attorney Docket Number	GK-GEY-1065	

-	0.4	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of			
Examiner nitials*	Cite No. <sup>1</sup>	No. the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
Ā		MROCHEN, M. & SEILER T., International Ophtalmology Clinics, Advances in Refractive and Corneal Surgery, Vol. 36. No. 3, pg 73-75, Fall 1996	✓		
		Prospect of the Firm AMS Mikrooptik GmbH	<b>✓</b>		
		MROCHEN et al., Light Shaping Beam Homogenizing (LSBH) For Medical Applications, Abstract and Poster to LALS '96, Jenaer Congress in September 1996	<b>✓</b>		
		BRONSTEIN et al., "Taschenbuch der Mathematik"; Nachdruck 20. Auflage, 1983			
IJ		Datenblatt 1, of the Firm AMS Mikrooptik GmbH, March 4, 1997			
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<sup>\*</sup>EXAMINER: Initial if reference/considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.